

Colin Miller

Group Principal



Contact Details

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Qualifications

Bachelor of Jurisprudence

Bachelor of Laws

Areas of Expertise

Regulatory and Compliance

Corporate Governance

Business ethics and anti-corruption

Investigations

Directors Duties

Sanctions

Modern Slavey Act

Previous Engagements

Herbert Smith Freehills

Gide Loyrette Nouel

Bank of America Merrill Lynch

JPMorgan

Chandler Corporation

NAB



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Overview

Colin brings to Nexus over 30 years of Australian and international legal expertise from both private practice and in-house. His practice focus is on Governance, Regulatory and Compliance, which is the management of non-financial risk. He is highly experienced in delivering practical and commercial advice to Clients facing increasing complexity in their compliance obligations.

Having spent over a decade in Asia, Colin provides a breadth of international experience and commercial understanding to his practice. His depth of experience as a financial services lawyer allows him to deliver deep insight into how compliance integrates into businesses.

Colin has advised on a broad range of Regulatory and Compliance matters spanning a diverse range of businesses, including board level strategic decisions addressing compliance failures and how to best to mitigate liability and minimise reputational damage.

Colin initially trained a top Australian law firm in Perth before working at law firms in Paris and the Middle East and has held senior legal and compliance roles in global financial services groups and fund managers in Sydney, Perth, London, Singapore and Hong Kong.

Colin has designed and implemented corporate compliance programs, delivered in-house training, assisted organisations to take practical steps in building a culture of compliance and, most recently, developed specific expertise on the Modern Slavery Act.

Expertise

- Regulatory compliance: ASIC and Corporations Act, (director and officer duties), ASX (Corporate Governance Principles and Recommendations).
- Industry standards compliance (ISO, IEC, and ITU) as applied by Standards Australia.
- Assessment of existing GRC frameworks and policies.
- Investigations: both internal and regulatory and formulating appropriate responses, including in respect of enforcement actions.
- Development of Compliance systems and organisational training: tailored to specific industry and by reference to applicable regulation.
- Modern Slavery Act: Supply chain assessment, risk identification, contract remediation, policy development, annual reporting.
- Anti-Money Laundering and Counter-Terrorism Financing Act. Identification of suspicious transactions, and potential reporting obligations.
- Sanctions and export controls: DFAT and the Australian Sanctions office and exposure to US/EU sanctions regimes.

Career Highlights

- Advising a Fund Manager on multi-jurisdictional investigation regarding breaches of fiduciary duties.
- Establishing the legal and compliance function for BOA Merrill Lynch in Australia.
- Advising an Australian Bank on implementation of global derivatives remediation program.
- Managing regulatory approval process for merger of JPMorgan and Chase Manhattan Bank in Asia.
- Advising the Singapore Stock Exchange on amending its Rules to address global changes to derivatives regulations.